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## **Enrollment: Esophageal**

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Fissue Source Site (TSS) Name: _	HCMI Identifier (ID3):
Completed By:	Completion Date (MM/DD/YYYY):

**Form Notes:** An Enrollment Form should be completed for each HCMI case upon qualification notice from Leidos. All information provided on this form should include activity from the Date of Initial Pathologic Diagnosis to the most recent Date of Last Contact with the patient.

Question	Question Text	Data Entry Options	CDE ID	Instruction Text
1	ID2		2003301	Provide the patient's ID2 (this ID will only be used by IMS for internal quality control).
2	ID3		5845012	Provide the HCMI-specific anonymized ID (ID3).
3	Index date	☐ Initial pathologic diagnosis ☐ Sample procurement ☐ First patient visit	6154722	Select the reference date used to calculate time intervals (e.g. days to treatment). Date of initial pathologic diagnosis is the HCMI standard and should be used unless it is unavailable. If an alternative index date is used, indicate it here and use it for all interval calculations.
Patient Inf	formation			T
4	Gender	☐ Male ☐ Female ☐ Unspecified	2200604	Provide the patient's gender using the defined categories. Identification of gender is based upon self-report and may come from a form, questionnaire, interview, etc.
5	Height		649	Provide the patient's height, in centimeters.
6	Weight		651	Provide the patient's weight, in kilograms.
7	Body mass index (BMI)		2006410	If the patient's height and weight are not collected, provide the patient's body mass index (BMI).
8	Race	<ul> <li>□ American Indian or Alaska Native</li> <li>□ Asian</li> <li>□ Black or African American</li> <li>□ Native Hawaiian or other Pacific Islander</li> <li>□ White</li> <li>□ Unknown</li> <li>□ Not reported</li> </ul>	2192199	Provide the patient's race using the defined categories.  American Indian or Alaska Native: A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.  Asian: A person having origins in any of the peoples of the Far East, Southeast Asia, or in the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.  Black or African American: A person having origins in any of the black racial groups of Africa.  Native Hawaiian or other Pacific Islander: A person having origins on any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Island.  White: A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.
9	Ethnicity	<ul> <li>☐ Hispanic or Latino</li> <li>☐ Not Hispanic or Latino</li> <li>☐ Unknown</li> <li>☐ Not reported</li> </ul>	2192217	Provide the patient's ethnicity using the defined categories. <b>Hispanic or Latino:</b> A person of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race. <b>Not Hispanic or Latino:</b> A person not meeting the definition of Hispanic or Latino.

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Tissue Source Site (TSS) Name:	HCMI Identifier (ID3):	<b>X</b> 6
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Question	Question Text	Data Entry Options	CDE ID	Instruction Text
10	Number of days from index date to date of last contact		3008273	Provide the number of days from the index date to the date of last contact.
11	Patient age on index date		6379572	Provide the age (in days) of the patient on the index date.  Note: If the patient's age is greater than 32,872 days (90 years), please enter 32,872.
12	Year of birth		2896954	Provide the year of the patient's birth. If the patient was born prior to 1928, insert the date 1928.
13	Family history of cancer	☐ Same ☐ Different ☐ None ☐ Unknown	5832923	Has a first-degree relative of the patient been diagnosed with a cancer of the same or a different type?
14	Smoking history	<ul> <li>□ Lifelong non-smoker (&lt;100 cigarettes smoked in a lifetime)</li> <li>□ Current smoker (includes daily and non-daily smokers)</li> <li>□ Current reformed smoker (duration not specified)</li> <li>□ Current reformed smoker for &gt;15 years</li> <li>□ Current reformed smoker for ≤15 years</li> </ul>	2181650	Indicate the patient's history of tobacco smoking as well as their current smoking status using the defined categories.
15	Metastasis at diagnosis assessment status	<ul><li>☐ Metastatic</li><li>☐ Non-metastatic (confirmed)</li><li>☐ Non-metastatic (unconfirmed)</li></ul>	3438571	Indicate whether there was evidence of metastasis at the time of diagnosis of the primary tumor. Note: If metastatic at diagnosis, proceed to Question 16, otherwise, skip to Question 17.
16	Metastatic site(s) at diagnosis	☐ Bone ☐ Brain ☐ Liver ☐ Lung ☐ Other (specify)	3108271	Indicate the site(s) of metastasis at the time of diagnosis of the primary tumor.  Note: If the anatomic site of tumor tissue is not listed, proceed to Question 16a, otherwise, skip to Question 17.
16a	Specify metastatic site(s)		3128033	If the site of metastasis is not included on the provided list, specify the site of metastasis.
Biospecim	en Information			
17	Tissue sample type(s) collected for HCMI for this case	<ul> <li>□ Normal tissue</li> <li>□ Primary tumor</li> <li>□ Metastatic</li> <li>□ Recurrent</li> <li>□ Other tissue</li> </ul>	2006911	Please select all the tissue sample types submitted for HCMI with this case.
18	Number of NORMAL tissues biospecimens collected for HCMI for this case		6584256	Please provide the number of normal tissue specimens obtained for HCMI for this case.  Note: This number is expected to be 1.
19	Number of PRIMARY cancer tissue biospecimens collected for HCMI model development for this case		6584257	Please provide the number of primary tumor specimens obtained for HCMI for this case. Note: A single primary tumor biospecimen obtained that is portioned for both sequencing and model generation counts as 1 single primary tumor specimen. This number is expected to be 1.

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Completed By:

# **Enrollment: Esophageal**

HCMI Identifier (ID3): \_\_\_\_\_

Completion Date (MM/DD/YYYY): \_\_\_\_



Question	Question Text	Data Entry Options	CDE ID	Instruction Text
20	Number of METASTATIC/RECURRENT cancer tissue biospecimens collected for HCMI model development for this case		6584258	Please provide the number of metastatic and/or recurrent cancer biospecimens collected for HCMI for this case. Note: A biospecimen obtained from a single site at a single timepoint in progression that is portioned for both sequencing and model generation counts as 1 single tumor specimen. A biospecimen obtained from another site or at a later timepoint in progression that is portioned for both sequencing and model generation counts as a second single tumor specimen.
21	Number of OTHER tissue biospecimens collected for HCMI model development for this case		6584259	Please provide the number of pre- malignant, non-malignant, or dysplastic tissue biospecimens collected for HCMI for this case. Note: A biospecimen obtained from a single site at a single timepoint in progression that is portioned for both sequencing and model generation counts as 1 single tumor specimen. A biospecimen obtained from another site or at a later timepoint in progression that is portioned for both sequencing and model generation counts as a second single tumor specimen.
22	Total number of tissue biospecimens collected for HCMI for this case		6584271	Please provide the total number of tissue biospecimens collected for HCMI for this case. Note: This number should be the sum of the normal, primary tumor, metastatic/ recurrent tumor, and other biospecimen counts above.
Normal Co	ntrol Information			
23	Normal tissue biospecimen ordinal		6584264	Please provide a number to identify which biospecimen this is in the sequence. Note: The first biospecimen should be number "1," the second should be number "2," etc.
24	CMDC sample ID		6586035	Please provide the CMDC sample ID for this biospecimen as it will appear on tubes and the Sample Submission Form transmitted to the BPC.
25	BPC submitter ID (if available)		6584919	Please provide the BPC-generated ID for this sample as it will appear on the Sample Submission Form transmitted to the BPC.
26	Type of normal control	<ul> <li>□ Whole blood</li> <li>□ Buccal cells</li> <li>□ Buffy coat</li> <li>□ Lymphocytes</li> <li>□ Extracted DNA from blood</li> <li>□ Extracted DNA from saliva</li> <li>□ Extracted DNA from buccal cells</li> <li>□ Extracted DNA from normal tissue</li> <li>□ FFPE non-neoplastic tissue</li> <li>□ Non-neoplastic tissue</li> </ul>	3081936	Indicate the type of normal control submitted for this case.
27	Anatomic site of normal tissue	<ul> <li>□ Esophagus – proximal third</li> <li>□ Esophagus – middle third</li> <li>□ Esophagus – distal third</li> <li>□ Other (specify)</li> <li>□ Not applicable</li> </ul>	4132152	If non-neoplastic tissue was submitted as the normal control, select the anatomic site of the normal tissue. Note: If the anatomic site of normal tissue is not listed, proceed to Question 27a, otherwise, skip to Question 28.

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Completed By:

# **Enrollment: Esophageal**

HCMI Identifier (ID3): \_\_\_\_\_

Completion Date (MM/DD/YYYY): \_\_\_\_



Question	Question Text	Data Entry Options		CDE ID	Instruction Text
27a	Other anatomic site of normal tissue			3288189	If non-neoplastic tissue, adjacent tissue, or normal tissue from another anatomic site was submitted as the normal control, provide the anatomic site of the normal tissue.
28	Distance from tumor to normal control tissue (if not blood)	<ul><li>☐ Adjacent (&lt; or = 2cm)</li><li>☐ Distal (&gt;2cm)</li><li>☐ Unknown</li><li>☐ Not applicable</li></ul>		3088708	Indicate the distance from the site of normal tumor collection to the primary tumor. Note: If normal tissue was not submitted, select 'Not applicable'.
29	Normal tissue sample preservation method	II FFDF	OCT Snap frozen	5432521	Provide the method used to preserve the normal tissue sample collected for molecular characterization.
Primary Tu	ımor Biospecimen Informatio				
30	ICD-10 code for primary tumor	□ C15.1 □ C15.2 □	1 C15.5 1 C15.8 1 C15.9 1 Other (specify)	3226287	Provide the ICD-10 code for the primary tumor as used to generate the ID3 for this subject. Note: If the ICD-10 code is not listed, proceed to 30a, otherwise, skip to Question 31.
30a	Other ICD-10 code for primary tumor			3226287	If the ICD-10 code for the tumor used to generate the model submitted to HCMI is not included on the provided list, specify the ICD-10 code.
31	Tumor Morphology	□ 8051/3 □ 8070/3 □ 8074/3 □	1 8140/3 1 8200/3 1 8430/3 1 8560/3 1 Other (specify)	3226275	Using the patient's pathology/laboratory report, provide the ICD-O-3 histology code of the primary tumor. Note: If the ICD-O-3 histology code of the primary tumor is not listed, proceed to Question 31a, otherwise, skip to Question 32.
31a	Specify other morphology			3226275	If the ICD-O-3 histology code describing the morphology of the patient's primary tumor is not included on the previous list, provide the ICD-O-3 histology code.
32	Tissue or organ of origin	☐ Esophagus ☐ Other (specify)		3427536	Using the patient's pathology/laboratory report, select the primary site of the disease. Note: If the primary site of the disease is not listed, proceed to Question 32a, otherwise skip to Question 33.
32a	Other tissue or organ of origin			5946219	If the primary site of the disease is not included on the previous list, provide the primary site of the disease.
33	Histological Type	☐ Esophageal cancer☐ Other (specify)		3081932	Select the surgical pathology text description of the histological tumor type. Note: If the histological tumor type is not listed, proceed to Question 33a, otherwise, skip to Question 34.
33a	Other histological type			3294805	If the traditional surgical pathology text description of the histological tumor type is not included on the previous list, please specify the histological type.
34	Histological subtype	☐ Esophagus adenocarcino☐ Esophagus squamous cel☐ Other (specify)		3081934	Using the patient's pathology/laboratory report, select the histological subtype of the primary tumor. Note: If the histological subtype is not listed, proceed to Question 34a, otherwise, skip to Question 35.
34a	Other histological subtype			3124492	If the histological subtype for the primary tumor is not included in the provided list, specify the histological subtype.

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	Enrollment: Esophageal		
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Question	Question Text	Data Entry Options	CDE ID	Instruction Text
35	Prior malignancy (of the same cancer type)	☐ Yes ☐ No ☐ Unknown	5832924	Indicate whether the patient has a history of prior malignancy of the same cancer type.
36	Prior malignancy (other cancer type)	☐ Yes☐ No☐ Unknown	5878828	Indicate whether the patient has a history of prior malignancy of a different cancer type.
37	AJCC cancer staging edition	☐ 1st ☐ 5th ☐ 2nd ☐ 6th ☐ 3rd ☐ 7th ☐ 4th ☐ 8th	2722309	Select the AJCC staging handbook edition used to stage the patient's primary tumor.
38	Clinical stage group	□ Stage 0       □ Stage III         □ Stage I       □ Stage IIIA         □ Stage IA       □ Stage IIIB         □ Stage IB       □ Stage IIIC         □ Stage II       □ Stage IV         □ Stage IIA       □ Stage IVA         □ Stage IIB       □ Stage IVB	3440332	Using the patient's pathology/laboratory report, select the clinical stage group of the primary tumor as defined by the American Joint Committee on Cancer (AJCC).
39	AJCC pathologic spread: Primary tumor (pT)	□ T0 □ T1b □ T4a □ Tis □ T2 □ T4b □ T1 □ T3 □ T4b □ T1a □ T4	3045435	Using the patient's pathology/laboratory report, select the code for the pathologic T (primary tumor) as defined by the American Joint Committee on Cancer (AJCC).
40	AJCC pathologic spread: Lymph nodes (pN)	□ N0 □ N3 □ NX □ N2	3203106	Using the patient's pathology/laboratory report, select the code for the pathologic N (nodal) as defined by the American Joint Committee on Cancer (AJCC).
41	AJCC pathologic spread: Distant metastases (pM)	□ M0 □ M1	3045439	Using the patient's pathology/laboratory report, select the code for the pathologic M (metastasis) as defined by the American Joint Committee on Cancer (AJCC).
42	Tumor stage (pathological)	□ Stage 0       □ Stage IIIA         □ Stage IA       □ Stage IIIB         □ Stage IB       □ Stage IIIC         □ Stage IC       □ Stage IV         □ Stage IIA       □ Stage IVA         □ Stage IIB       □ Stage IVB	3065862	Using the patient's pathology/laboratory report, in conjunction with the patient's medical record, select the stage as defined by the American Joint Committee on Cancer (AJCC).
43	Tumor grade	☐ G1-Well differentiated ☐ G2-Moderately differentiated ☐ G3-Poorly differentiated ☐ GX-Unknown	2785839	Using the patient's pathology/laboratory report, select the grade of the primary tumor.
Prognostic	/Predictive/Lifestyle Feature	es for Primary Tumor Prognosis or Responsivene	ss to Treatm	ent
44	Does the tumor cross the gastric/esophageal junction (GEJ)?	☐ Yes ☐ No ☐ Unknown	3295807	Indicate whether the tumor is located across the gastroesophageal junction.
45	Alcohol exposure intensity	☐ Lifelong non-drinker ☐ Non-drinker ☐ Occasional drinker ☐ Drinker ☐ Heavy drinker ☐ Not evaluated	3457767	Describe the patient's current level of alcohol use as self-reported by the patient.
46	Frequency of alcohol consumption (days per week)		3114013	Describe the average number of days each week that the patient consumes an alcoholic beverage.

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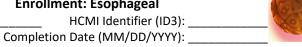
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#### **Enrollment: Esophageal**

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Question **Question Text Data Entry Options** CDE ID **Instruction Text** Did the patient have a 47 3203079 Indicate whether the patient has a ☐ Yes prior clinical diagnosis of history of reflux disease. Note: If the ☐ No patient was not diagnosed with reflux reflux disease? □ Unknown disease, skip to Question 49. 48 How was the patient 3440206 If the patient was clinically diagnosed □ Antacids with reflux disease, select all methods by treated for reflux ☐ H2 blockers disease? which the patient was treated. □ Medically treated ☐ Proton pump inhibitors ☐ Surgically treated □ No treatment □ Unknown Previous 3440211 49 Previous or current H. Indicate whether the patient was ☐ Current pylori infection? previously or is currently diagnosed with ☐ Never Helicobacter pylori infection. ☐ Unknown Indicate whether the patient had a 50 Previous or current 3203140 ☐ Yes diagnosis of Barrett's previous or has a current diagnosis of ☐ No Esophagus? Barrett's esophagus. Note: If the patient □ Unknown was not diagnosed with Barrett's Esophagus, skip to Question 52. 51 Were goblet cells ☐ Yes 3440216 If the patient was clinically diagnosed present? ☐ No with Barrett's Esophagus, indicate ☐ Unknown whether there were goblet cells present. 52 Esophageal columnar 3440218 Indicate whether the patient had ☐ Yes metaplasia present? esophageal columnar metaplasia ☐ No present. ☐ Unknown 53 Goblet cells of 3440219 Indicate whether goblet cells were esophageal columnar present in esophageal columnar mucosa. ☐ Yes mucosa present (i.e. ☐ No possible specialized ☐ Unknown Barrett's esophagus mucosa)? Degree of dysplasia 54 3440917 Provide the patient's degree of dysplasia ☐ Negative/no dysplasia within the non-cancerous within the non-cancerous esophageal ☐ Low grade dysplasia esophageal columnar columnar mucosa. ☐ High grade dysplasia mucosa ☐ Indefinite for dysplasia □ Unknown 55 Number of pack years 2955385 Provide the number of pack years the smoked patient smoked. Note: This is calculated using the number of cigarettes smoked per day times the number of years smoked, divided by 20. For example, if a patient smoked 5 cigarettes per day times 10 years, divided by 20, the patient would have 2.5 pack years (e.g.  $5 \times 10 / 20 = 2.5$ ). 2228610 56 Tobacco smoking quit Provide the year the patient quit year smoking. Note: If the patient has not quit smoking, never smoked, or the year is unknown, leave the response section blank. **Primary Tumor Sample Information** If yes, proceed to question 58. 57 Are you submitting a ☐ Yes If submitting a metastatic/recurrent tumor primary tumor tissue ☐ No sample, proceed to Question 84. sample for this case? 58 Primary tumor 6584265 Please provide a number to identify biospecimen ordinal which biospecimen this is in the sequence. Note: This number should be "1".



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Enrollment: Esophageal ssue Source Site (TSS) Name: HCMI Identifier (ID3): ompleted By: Completion Date (MM/DD/YYYY):				
Question	Question Text	Data Entry Options	CDE ID	Instruction Text
59	CMDC sample ID		6586035	Please provide the CMDC sample ID for this biospecimen as it will appear on tubes and the Sample Submission Form transmitted to the BPC.
60	BPC submitter ID (if available)		6584919	Please provide the BPC-generated ID for this sample as it will appear on the Sample Submission Form transmitted the BPC.
61	Sample represents primary diagnosis?	☐ Yes ☐ No	6584730	Does this primary tumor specimen represent the PRIMARY DIAGNOSIS for this Case ID3? Note: If no, continue to Question 62, otherwise, skip to Question 6
62	Specify the ICD-10 code		3226287	Provide the ICD-10 code for the primal tumor used to generate the model submitted to HCMI.
63	Tumor tissue sample preservation method	☐ Cryopreserved ☐ FFPE ☐ Frozen ☐ OCT ☐ Snap frozen	5432521	Provide the method used to preserve the tumor tissue sample collected for molecular characterization.
64	Anatomic Site of tumor from which Model was Derived	□ Esophagus − □ Brain proximal third □ Liver □ Esophagus − middle third □ Lymph node □ Esophagus − distal third □ Other (specify) third □ Gastroesophageal junction □ Bone	4214629	Select the anatomic site of the tumor tissue sample used to generate the model for HCMI. Note: If the tissue or organ of origin is not listed, proceed to Question 64a. Otherwise, skip to Question 65.
64a	Other anatomic site from which the tumor was obtained		5946219	If not provided in the previous list, provide the anatomic site of the tumor tissue sample used to generate the model for HCMI.
65	Method of cancer sample procurement	☐ Endoscopic biopsy ☐ Endoscopic mucosal resection ☐ Surgical resection ☐ Laparoscopic biopsy ☐ Other (specify)	3103514	Provide the procedure performed to obtain the primary tumor tissue. Note: the method of procurement is not listed, proceed to Question 65a, otherwise, skip to Question 66.
65a	Specify the other method of tumor sample procurement		2006730	Specify the procedure performed to obtain the primary tumor tissue, if not included in the previous list.
66	Number of days from index date to date of tumor sample procurement		3288495	Provide the number of days from the index date to the date of the procedure that produced the tumor tissue submitted for HCMI.
67	Tumor tissue type	☐ Primary ☐ Additional Primary ☐ NOS	3288124	Provide the primary tumor tissue type for this sample.
Primary Tu 68	Primary model Information Primary model biospecimen ordinal		6594596	Please provide a number to identify which biospecimen this is in the sequence. Note: This number is expected be "1".
69	CMDC model ID		6586036	Please provide the CMDC model ID for this sample as it will appear on tube and the Sample Submission Form transmitted to the BPC.

# **Enrollment: Esophageal**

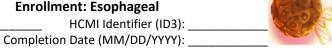
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Question	Question Text	Data Entry Options	CDE ID	Instruction Text
70	BPC submitter ID (if available)		6584919	Please provide the BPC-generated ID for this sample as it will appear on the Sample Submission Form transmitted to the BPC.
71	Model represents primary diagnosis?	□ Yes □ No	6584730	Does this MODEL represent the PRIMARY DIAGNOSIS for this Case ID3?
72	Model's primary tumor tissue CMDC sample ID		6586035	Enter the CMDC Sample ID of the PRIMARY TUMOR TISSUE from which this model is derived.
73	Model's primary tumor biospecimen ordinal		6584265	Enter the biospecimen ordinal of the PRIMARY TUMOR TISSUE from which this model is derived.
Treatment	Information			
74	History of neoadjuvant treatment	<ul> <li>No</li> <li>Yes; radiation prior to resection</li> <li>Yes; pharmaceutical treatment prior to resection</li> <li>Yes; both radiation and pharmaceutical treatment prior to resection</li> <li>Unknown</li> </ul>	3382737	Indicate whether the patient received neoadjuvant radiation or pharmaceutical treatment. Note: Pharmaceutical therapy is addressed in Questions 75-81. Radiation therapy is addressed in Questions 82-83.
75	Neoadjuvant chemotherapy type	<ul> <li>□ Cytotoxic chemotherapy</li> <li>□ Hormonal</li> <li>□ Immunotherapy (cellular and immune checkpoint)</li> <li>□ Targeted therapy (small molecule inhibitors and targeted antibodies)</li> <li>□ Not applicable</li> </ul>	5832928	Select all neoadjuvant chemotherapy types that were administered to the patient. Note: Cytotoxic chemotherapy is addressed in Questions 76-77. Immunotherapy is addressed in Questions 78-79. Targeted therapy is addressed in Questions 80-81.
76	Neoadjuvant chemotherapeutic regimen	☐ Cisplatin; 5-fluorouracil (CF) ☐ Cisplatin; Capecitabine (CX) ☐ Epirubicin; Cisplatin; 5-fluorouracil (ECF) ☐ Epirubicin; Cisplatin; Capecitabine (ECX) ☐ Epirubicin; Oxaliplatin; Capecitabine (EOX) ☐ Other (specify) ☐ Chemotherapy not given	2853313	Select all chemotherapeutics used for neoadjuvant therapy. Note: If neoadjuvant chemotherapy was not given, skip to Question 78. If the neoadjuvant chemotherapeutic regimen is not listed, proceed to Question 76a, otherwise, skip to Question 77.
76a	Other neoadjuvant chemotherapeutic regimen	., 3	62694	If the neoadjuvant therapy is not included in the provided list, specify neoadjuvant therapies administered.
77	Days to neoadjuvant chemotherapy treatment from index date		5102411	Provide the number of days from index date to the date of treatment with neoadjuvant chemotherapy.
78	Immunotherapy		2185614	Specify the name of the immunotherapy administered. Note: If immunotherapy was not given, skip to Question 80, otherwise proceed to Question 79.
79	Days to immunotherapy treatment from index date		5102411	Provide the number of days from the index date to the date of treatment with immunotherapy.
80	Targeted Therapy	☐ Ramucirumab ☐ Trastuzumab ☐ Other (specify)	5880217	Select the targeted therapy administered to the patient. Note: If the targeted therapy regimen is not listed, proceed to Question 80a, otherwise, skip to Question 81.
80a	Specify targeted therapy		4308476	Provide the name of the targeted therapy administered to the patient.

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Question	Question Text	Data Entry Options	CDE ID	Instruction Text
81	Days to targeted therapy treatment from index date		5102411	Provide the number of days from the index date to the date of treatment with targeted therapy.
82	Radiation therapy administered type	□ Stereotactic □ 2D conventional □ 3D conformal □ Brachytherapy HDR □ Brachytherapy LDR □ IMRT □ IMRT □ Proton Beam □ Stereotactic Radiosurgery □ WBRT □ Other (specify) □ Unspecified □ Not applicable	3028890	Provide the type of radiation therapy that was administered to the patient.  Note: If radiation therapy was not administered, proceed to Question 84. If the radiation therapy is not listed, proceed to Question 82a, otherwise, skip to Question 83.
82a	Other radiation therapy		2195477	If the radiation therapy type is not included in the provided list, specify the type.
83	Days to radiation treatment from index date		5102411	Provide the number of days from the index date to the date of treatment with radiation therapy.
Metastatio	/Recurrent Tumor Biospecin	nen Information	•	
84	Are you submitting a metastatic/recurrent tumor tissue sample?	☐ Yes ☐ No		Indicate whether a metastatic/recurrent tumor biospecimen was collected for this ID3 case. Note: If yes, proceed to Question 85. If submitting an OTHER tissue sample, proceed to Question 136.
85	Metastatic/recurrent tissue biospecimen ordinal		6584266	Please provide a number to identify which biospecimen this is in the sequence. Note: The first biospecimen should be number "1", the second should be number "2", etc.
86	CMDC tissue ID		6586035	Please provide the CMDC sample ID for this biospecimen as it will appear on tubes and the Sample Submission Form transmitted to the BPC.
87	BPC submitter ID (if available)		6584919	Please provide the BPC-generated ID for this sample as it will appear on the Sample Submission Form transmitted to the BPC.
88	Metastatic/ recurrent tumor tissue sample preservation method	☐ Cryopreserved ☐ FFPE ☐ Frozen ☐ OCT ☐ Snap frozen	5432521	Provide the method used to preserve the metastatic/recurrent tumor tissue sample collected for molecular characterization.
89	Number of days from index date to date of diagnosis of metastasis/ recurrence		6132218	Provide the number of days from the index date to the date of diagnosis of metastatic/recurrent disease.
90	Method of metastatic/ recurrent cancer sample procurement	<ul> <li>□ Endoscopic biopsy</li> <li>□ Endoscopic mucosal resection</li> <li>□ Surgical resection</li> <li>□ Laparoscopic biopsy</li> <li>□ Other (specify)</li> </ul>	6587389	Indicate the procedure performed to obtain the metastatic/recurrent tumor tissue. Note: If the method of procurement is not listed, proceed to Question 90a, otherwise, skip to Question 91.
90a	Other method of cancer sample procurement		6587390	If the procedure performed to obtain the tumor tissue is not included in the provided list, specify the procedure.
91	Number of days from index date to date of metastatic/ recurrent sample procurement		3288495	Provide the number of days from the index date to the date of the procedure that produced the metastatic/recurrent tumor tissue submitted for HCMI.



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	e Site (TSS) Name: By:			
Question	Question Text	Data Entry Options	CDE ID	Instruction Text
92	Metastatic/recurrent site	□ Esophagus - proximal third □ Esophagus - middle third □ Esophagus - distal third □ Gastroesophageal junction □ Bone □ Brain □ Liver □ Lung □ Lymph node □ Other (specify)	6587394	Select the site from which the metastatic/recurrent tissue used to develop the model was derived.  Note: If the metastatic/recurrent site is no listed, proceed to Question 92a, otherwise skip to Question 93.
92a	Other metastatic/ recurrent site		6587395	If not included in the previous list, specify the site from which the metastatic/recurrent tissue used to develop the model was derived.
93	Site of relapse	☐ Local ☐ Regional ☐ Distant ☐ Not applicable	2002506	If the primary tumor relapsed, provide the site of relapse.
94	ICD-10 code		3226287	Provide the ICD-10 code for the metastatic/recurrent tumor used to generate the model submitted to HCN
95	ICD-O-3 histology code		3226275	Provide the ICD-O-3 histology code describing the morphology of the metastatic/recurrent tumor used to generate the model submitted to HCN
96	Maintenance and/or consolidation therapy administered prior to collection of metastatic/recurrent tissue		6119066	Provide the name(s) of the maintenan and/or consolidation therapy administered to the patient prior to the collection of the metastatic/recurrent tissue used to develop the model.
97	Days to start of maintenance and/or consolidation therapy from index date		5102411	Provide the number of days from the index date to the date maintenance and/or consolidation therapy started.
98	Days to last known administration date of maintenance and/or consolidation therapy from index date		5102431	Provide the number of days from the index date to the last known date of maintenance and/or consolidation therapy.
99	Is the patient still receiving treatment?	☐ Yes ☐ No ☐ Unknown	6379568	Indicate whether the patient is still undergoing maintenance and/or consolidation therapy.
100	Disease status	<ul> <li>□ No evidence of disease</li> <li>□ Progressive disease</li> <li>□ Stable disease</li> <li>□ Unknown</li> </ul>	2188290	Provide the disease status following maintenance and/or consolidation therapy.
Prognostic	/Predictive/Lifestyle Feature	es for Metastatic/Recurrent Tumor Prognosis or	Responsiver	ess to Treatment
101	Does the tumor cross the gastric/esophageal junction (GEJ)?	☐ Yes ☐ No ☐ Unknown	3295807	Indicate whether the tumor is located across the gastroesophageal junction.
102	Esophageal columnar metaplasia present?	☐ Yes ☐ No ☐ Unknown	3440218	Indicate whether the patient had esophageal columnar metaplasia present.

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# **Enrollment: Esophageal**

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Tissue Source Site (TSS) Name: \_\_\_\_\_ HCMI Identifier (ID3):

Completion Date (MM/DD/YYYY):

Question	Question Text	Data Entry Options	CDE ID	Instruction Text
103	Goblet cells of esophageal columnar mucosa present (i.e. possible specialized Barrett's esophagus mucosa)?	☐ Yes ☐ No ☐ Unknown	3440219	Indicate whether goblet cells were present in esophageal columnar mucosa.
104	Degree of dysplasia within the non-cancerous esophageal columnar mucosa	<ul> <li>□ Negative/no dysplasia</li> <li>□ Low grade dysplasia</li> <li>□ High grade dysplasia</li> <li>□ Indefinite for dysplasia</li> <li>□ Unknown</li> </ul>	3440917	Provide the patient's degree of dysplasia within the non-cancerous esophageal columnar mucosa.
Additional		r Biospecimen Information (if applicable)		
105	Are you submitting an additional metastatic/ recurrent tumor tissue sample?	☐ Yes ☐ No		A biospecimen obtained from a single site at a single timepoint in progression that is portioned for both sequencing and model generation counts as 1 single tumor specimen. A biospecimen obtained from another site or at a later timepoint in progression that is portioned for both sequencing and model generation counts as a second single tumor specimen. Note: If yes, proceed to Question 106, otherwise, skip to Question 126.
106	Metastatic/recurrent tissue biospecimen ordinal		6584266	Please provide a number to identify which biospecimen this is in the sequence. The first biospecimen should be number "1," the second should be number "2," etc.
107	CMDC tissue ID		6586035	Please provide the CMDC sample ID for this biospecimen as it will appear on tubes and the Sample Submission Form transmitted to the BPC.
108	BPC submitter ID (if available)		6584919	Please provide the BPC-generated ID for this sample as it will appear on the Sample Submission Form transmitted to the BPC.
109	Metastatic/ recurrent tumor tissue sample preservation method	☐ Cryopreserved ☐ FFPE ☐ Frozen ☐ OCT ☐ Snap frozen	5432521	Provide the method used to preserve the metastatic/recurrent tumor tissue sample collected for molecular characterization.
110	Number of days from index date to date of diagnosis of additional metastasis/ recurrence		6132218	Provide the number of days from the index date to the date of diagnosis of additional metastatic/recurrent disease.
111	Method of metastatic/ recurrent cancer sample procurement	<ul> <li>□ Endoscopic biopsy</li> <li>□ Endoscopic mucosal resection</li> <li>□ Surgical resection</li> <li>□ Laparoscopic biopsy</li> <li>□ Other (specify)</li> </ul>	6587389	Indicate the procedure performed to obtain the metastatic/recurrent tumor tissue. Note: If the method of procurement is not listed, proceed to Question 111a, otherwise, skip to Question 112.
111a	Other method of cancer sample procurement		6587390	If the procedure performed to obtain the tumor tissue is not included in the provided list, specify the procedure.
112	Number of days from index date to date of metastatic/ recurrent sample procurement		3288495	Provide the number of days from the index date to the date of the procedure that produced the metastatic/recurrent tumor tissue submitted for HCMI.

**Enrollment: Esophageal** HCMI Identifier (ID3): \_\_ Tissue Source Site (TSS) Name: \_

Completed E	Ву:	Completion Date (MM/DD/Y)	/YY):	
Question	Question Text	Data Entry Options	CDE ID	Instruction Text
113	Metastatic/ recurrent site	□ Esophagus - proximal third □ Esophagus - middle third □ Esophagus - distal third □ Gastroesophageal junction □ Bone □ Brain □ Liver □ Lung □ Lymph node □ Other (specify)	6587394	Select the site from which the metastatic/recurrent tissue used to develop the model was derived.  Note: If the metastatic/recurrent site is not listed, proceed to Question 113a, otherwise, skip to Question 114.
113a	Other metastatic/ recurrent site		6587395	If not included in the previous list, specify the site from which the metastatic/recurrent tissue used to develop the model was derived.
114	Site of relapse	☐ Local ☐ Distant ☐ Regional ☐ Not applicable	2002506	If the primary tumor relapsed, provide the site of relapse.
115	ICD-10 code		3226287	Provide the ICD-10 code for the metastatic/recurrent tumor used to generate the model submitted to HCMI.
116	ICD-O-3 histology code		3226275	Provide the ICD-O-3 histology code describing the morphology of the metastatic/recurrent tumor used to generate the model submitted to HCMI.
117	Maintenance and/or consolidation therapy administered prior to collection of metastatic/recurrent tissue		6119066	Provide the name(s) of the maintenance and/or consolidation therapy administered to the patient prior to the collection of the metastatic/recurrent tissue used to develop the model.
118	Days to start of maintenance and/or consolidation therapy from index date		5102411	Provide the number of days from the index date to the date maintenance and/or consolidation therapy started.
119	Days to last known administration date of maintenance and/or consolidation therapy from index date		5102431	Provide the number of days from the index date to the last known date of maintenance and/or consolidation therapy.
120	Is the patient still receiving treatment?	☐ Yes☐ No☐ Unknown	6379568	Indicate whether the patient is still undergoing maintenance and/or consolidation therapy.
121	Disease status	<ul><li>□ No evidence of disease</li><li>□ Progressive disease</li><li>□ Stable disease</li><li>□ Unknown</li></ul>	2188290	Provide the disease status following maintenance and/or consolidation therapy.
		es for Additional Metastatic/Recurrent Tumor		
122	Does the tumor cross the gastric/esophageal junction (GEJ)?	☐ Yes☐ No☐ Unknown	3295807	Indicate whether the tumor is located across the gastroesophageal junction.
123	Esophageal columnar metaplasia present?	☐ Yes ☐ No ☐ Unknown	3440218	Indicate whether the patient had esophageal columnar metaplasia present.
124	Goblet cells of esophageal columnar	□ Voc	3440219	Indicate whether goblet cells were present in esophageal columnar mucosa.

☐ Yes

□ No

☐ Unknown

mucosa present (i.e.

possible specialized

Barrett's esophagus

mucosa)?

Tissue Source Site (TSS) Name:

Completed By:

# **Enrollment: Esophageal**

HCMI Identifier (ID3): \_\_\_\_\_\_

Completion Date (MM/DD/YYYY): \_\_\_\_\_



125		Data Entry Options	CDE ID	Instruction Text
l I	Degree of dysplasia	☐ Negative/no dysplasia	3440917	Provide the patient's degree of dysplasia
	within the non-cancerous	☐ Low grade dysplasia		within the non-cancerous esophageal
	esophageal columnar	☐ High grade dysplasia		columnar mucosa.
	mucosa	☐ Indefinite for dysplasia		
		☐ Unknown		
Metastatic	:/Recurrent Tumor Model Inf	formation		<u> </u>
126	METASTATIC/		6594587	Please provide a number to identify
	RECURRENT model			which biospecimen this is in the
	biospecimen ordinal			sequence. Note: The first biospecimen should be number "1," the second should be number "2," etc.
127	CMDC model ID		6586036	Please provide the CMDC model ID
				for this sample as it will appear
				on tubes and the Sample Submission
				Form transmitted to the BPC.
128	BPC submitter ID (if		6584919	Please provide the BPC-generated ID
	available)			for this sample as it will appear on the
				Sample Submission Form transmitted
				to the BPC.
129	Model's METASTATIC/		6586035	Enter the CMDC Sample ID of the
	RECURRENT tumor tissue			METASTATIC/RECURRENT tissue from
	CMDC sample ID			which this model is derived.
420	NA		6504266	
130	Model's METASTATIC/		6584266	Enter the biospecimen ordinal
	RECURRENT tumor tissue			of the METASTATIC/RECURRENT tissue
	biospecimen ordinal			from which this model is derived.
Additional	Metastatic/Recurrent Biosp	ecimen Tumor Model Information (if applicable	)	<u> </u>
131	METASTATIC/		6594587	Please provide a number to identify
	RECURRENT model			which biospecimen this is in the
	biospecimen ordinal			sequence. Note: The first biospecimen
122	COMPO LIND		5505005	should be number "1," the second should be number "2," etc.
132	CMDC model ID		6586036	Please provide the CMDC model ID for
				this sample as it will appear on tubes
				and the Sample Submission Form transmitted to the BPC.
133	BPC submitter ID (if		6584919	Please provide the BPC-generated ID for
133	available)		0304313	this sample as it will appear on the
	available)			Sample Submission Form transmitted to
		· <del></del>		the BPC.
134	Model's METASTATIC/		6586035	Enter the CMDC Sample ID of the
	RECURRENT tumor tissue			METASTATIC/RECURRENT tissue from
	CMDC sample ID			which this model is derived.
135	Model's METASTATIC/		6584266	Enter the biospecimen ordinal of the
	RECURRENT tumor tissue			METASTATIC/RECURRENT tissue from
	biospecimen ordinal	<u> </u>		which this model is derived.
	pecimen Information			<u></u>
136	Are you submitting an			Indicate whether an OTHER tissue
	OTHER tissue sample?	□ Yes		sample (e.g. pre-malignant, non-
		□ No		malignant, or dysplastic tissue, etc.) was
		-		collected for HCMI for this case. <i>Note: If</i>
42-	OTHER II		6501005	yes, proceed to Question 137.
137	OTHER tissue		6584267	Please provide a number to identify
13/			1	which biospecimen this is in the
15/	biospecimen ordinal			I
15/	biospecimen ordinal			sequence. Note: The first biospecimen should be number "1," the second should be

Tissue Source Site (TSS) Name:

Completed By:

# **Enrollment: Esophageal**

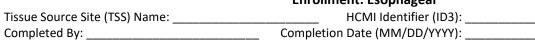
HCMI Identifier (ID3): \_\_\_\_\_\_

Completion Date (MM/DD/YYYY): \_\_\_\_\_



Question	Question Text	Data Entry Options	CDE ID	Instruction Text
138	CMDC sample ID		6586035	Please provide the CMDC sample ID
				for this specimen as it will appear on
				tubes and the Sample Submission Form
				transmitted to the BPC.
139	BPC submitter ID (if		6584919	Please provide the BPC-generated ID for
	available)			this sample as it will appear on the
				Sample Submission Form transmitted
				to the BPC.
140	OTHER tissue sample	☐ Cryopreserved ☐ OCT	5432521	Provide the method used to preserve
	preservation method	☐ FFPE ☐ Snap frozen		the OTHER tissue sample collected for
		☐ Frozen		molecular characterization.
141	Other method of cancer	☐ Endoscopic biopsy	6587398	Provide the procedure performed to
	sample procurement	☐ Endoscopic mucosal resection		obtain the OTHER tissue.
		☐ Surgical resection		Note: If the method of procurement is not
		☐ Laparoscopic biopsy		listed, proceed to Question 141a, otherwise,
		☐ Other (specify)		skip to Question 142.
141a	Specify method of OTHER		6587399	Specify the procedure performed to
	tissue sample			obtain the OTHER tissue.
	procurement			
142	Number of days from		3288495	Provide the number of days from the
	index date to date of			index date to the date of the procedure
	OTHER sample			that produced the OTHER tissue
	procurement			submitted for HCMI.
143	Tissue type	□ Nan malianant	64784	Indicate the OTHER tissue type.
		□ Non-malignant		Note: If the OTHER tissue type is not listed,
		☐ Other (specify)		proceed to Question 143a, otherwise, skip to Question 144.
143a	Specify tissue type		64785	Specify the OTHER tissue type if not in
1434	Specify tissue type		04703	the provided list.
144	Anatomic site of OTHER	☐ Esophagus -	6696813	Select the site from which the OTHER
1	tissue	proximal third	0030013	tissue used to develop the model was
		☐ Esophagus - ☐ Brain		derived. Note: If the OTHER tissue site is not
		middle third		listed, proceed to Question 144a, otherwise,
		☐ Esophagus - distal ☐ Lung		skip to Question 145.
		third   Lymph node		
		☐ Gastroesophageal ☐ Other (specify)		
		junction		
		☐ Bone		
144a	Specify anatomic site of		6584916	Specify the site of OTHER tissue, if not in
	OTHER tissue			the previous list.
145	ICD-10 code		3226287	Provide the ICD-10 code for the OTHER
				tissue used to generate the model
				submitted to HCMI.
146	ICD-O-3 histology code		3226275	Provide the ICD-O-3 histology code
				describing the morphology of the OTHER
				tissue used to generate the model
				submitted to HCMI.
	OTHER biospecimen Inform	ation (if applicable)	T	
147	Are you submitting an			Indicate whether an additional OTHER
	additional OTHER tissue	D V		tissue sample (pre-malignant, non-
	sample?	☐ Yes		malignant, or dysplastic tissue, etc.) is
		□ No		being submitted for HCMI for this case.
				Note: If yes, proceed to Question 148,
148	OTHER tissue		6584267	otherwise, skip to Question 158.
148			0304207	Please provide a number to identify which biospecimen this is in the
	biospecimen ordinal			sequence. <i>Note: The first biospecimen</i>
				should be number "1," the second should be
				number "2," etc.
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Completed By:





Question	Question Text	Data Entry Options	CDE ID	Instruction Text
149	CMDC sample ID		6586035	Please provide the CMDC sample ID for this specimen as it will appear on tubes and the Sample Submission Form transmitted to the BPC.
150	BPC submitter ID (if available)		6584919	Please provide the BPC-generated ID for this sample as it will appear on the Sample Submission Form transmitted to the BPC.
151	OTHER tissue sample preservation method	☐ Cryopreserved ☐ FFPE ☐ Frozen ☐ OCT ☐ Snap frozen	5432521	Provide the method used to preserve the OTHER tissue sample collected for molecular characterization.
152	Other method of cancer sample procurement	☐ Endoscopic biopsy ☐ Endoscopic mucosal resection ☐ Surgical resection ☐ Laparoscopic biopsy ☐ Other (specify)	6587398	Provide the procedure performed to obtain the OTHER tissue. Note: If the method of procurement is not listed, proceed to Question 152a, otherwise, skip to Question 153.
152a	Specify method of OTHER tissue sample procurement		6587399	Specify the procedure performed to obtain the OTHER tissue.
153	Number of days from index date to date of OTHER sample procurement		3288495	Provide the number of days from the index date to the date of the procedure that produced the OTHER tissue submitted for HCMI.
154	Tissue type	□ Non-malignant □ Other (specify)	64784	Indicate the OTHER tissue type.  Note: If the OTHER tissue type is not listed, proceed to Question 154a, otherwise, skip to Question 155.
154a	Specify tissue type		64785	Specify the OTHER tissue type if not in the provided list.
155	Anatomic site of OTHER tissue	□ Esophagus - proximal third □ Esophagus - middle third □ Liver □ Esophagus - distal □ Lung third □ Lymph node □ Gastroesophageal junction □ Bone	6696813	Select the site from which the OTHER tissue used to develop the model was derived. Note: If the OTHER tissue site is not listed, proceed to Question 155a, otherwise, skip to Question 156.
155a	Specify anatomic site of OTHER tissue		6584916	Specify the site of OTHER tissue, if not in the previous list.
156	ICD-10 code		3226287	Provide the ICD-10 code for the OTHER tissue used to generate the model submitted to HCMI.
157	ICD-O-3 histology code		3226275	Provide the ICD-O-3 histology code describing the morphology of the OTHER tissue used to generate the model submitted to HCMI.
	ue Model Information		1	
158	OTHER tissue model biospecimen ordinal		6594590	Please provide a number to identify which biospecimen this is in the sequence. Note: The first biospecimen should be number "1," the second should be number "2," etc.

	Enrollment: Esophageal	A DECEMBER	CA.	į
Tissue Source Site (TSS) Name:	HCMI Identifier (ID3):	Way 5	4	•
Completed By:	Completion Date (MM/DD/YYYY):	and l	34	



Question	Question Text	Data Entry Options	CDE ID	Instruction Text
159	CMDC model ID		6586036	Please provide the CMDC model ID for this sample as it will appear on tubes and the Sample Submission Form transmitted to the BPC.
160	BPC submitter ID (if available)		6584919	Please provide the BPC-generated ID for this sample as it will appear on the Sample Submission Form transmitted to the BPC.
161	Model's OTHER tissue CMDC sample ID		6586035	Enter the CMDC Sample ID of the OTHER tissue from which this model is derived.
162	Model's OTHER tissue biospecimen ordinal		6584267	Enter the biospecimen ordinal of the OTHER tissue from which this model is derived.
Additional	Other Tissue Model Informa	ntion (if applicable)		
163	OTHER tissue model biospecimen ordinal		6594590	Please provide a number to identify which biospecimen this is in the sequence. Note: The first biospecimen should be number "1," the second should be number "2," etc.
164	CMDC model ID		6586036	Please provide the CMDC model ID for this sample as it will appear on tubes and the Sample Submission Form transmitted to the BPC.
165	BPC submitter ID (if available)		6584919	Please provide the BPC-generated ID for this sample as it will appear on the Sample Submission Form transmitted to the BPC.
166	Model's OTHER tissue CMDC sample ID		6586035	Enter the CMDC Sample ID of the OTHER tissue from which this model is derived.
167	Model's OTHER tissue biospecimen ordinal		6584267	Enter the biospecimen ordinal of the OTHER tissue from which this model is derived.